

1. 10610 Rec'd 23 Feb 2002 16:24:18 2002

FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 8513-225 (SGG-1617-US)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/069750</b>
INTERNATIONAL APPLICATION NO. PCT/KR01/00277	INTERNATIONAL FILING DATE 23 February 2001	PRIORITY DATE CLAIMED 22 June 2000	
TITLE OF INVENTION IMPLANTABLE LEFT VENTRICULAR ASSIST DEVICE WITH CYLINDRICAL CAM			
APPLICANT(S) FOR DO/EO/US Gul-Joong Yoon and Han-Sang Cho			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input checked="" type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input type="checkbox"/> Other items or information:</p>			

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<b>21.</b> <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... <b>\$1040.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>					<b>CALCULATIONS    PTO USE ONLY</b>		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ 130 00		
<b>CLAIMS</b>		<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	<b>\$</b>		
Total claims		8 - 20 =	0	x <b>\$18.00</b>	\$ 0		
Independent claims		1 - 3 =	0	x <b>\$84.00</b>	\$ 0		
<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>				+ <b>\$280.00</b>	\$ 0		
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$ 1,170 00		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					+ \$ 585.00		
<b>SUBTOTAL =</b>					\$ 585.00		
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$ 0		
<b>TOTAL NATIONAL FEE =</b>					\$ 585 00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +					\$ 0		
<b>TOTAL FEES ENCLOSED =</b>					\$ 585.00		
					<b>Amount to be refunded:</b>		<b>\$</b>
					<b>charged:</b>		<b>\$</b>
<b>a.</b> <input checked="" type="checkbox"/> A check in the amount of \$ <u>585 00</u> to cover the above fees is enclosed.							
<b>b.</b> <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.							
<b>c.</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0679</u> . A duplicate copy of this sheet is enclosed.							
<b>d.</b> <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.							
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b>							
SEND ALL CORRESPONDENCE TO:							
Frank Chau, Esq F CHAU & ASSOCIATES, LLP 1900 Hempstead Turnpike, Suite 501 East Meadow, New York 11554 (516) 357-0091				<div style="text-align: right;">_____ Signature Frank Chau _____ NAME 34,136 _____ REGISTRATION NUMBER</div>			

Attorney Docket: 8513-225 (SGG-1617-US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT(S):** Gul-Joong Yoon et al.  
**INT'L. APPLN. NO:** PCT/KR01/00277  
**INT'L. FILING DATE:** February 23, 2001  
**FOR:** IMPLANTABLE LEFT VENTRICULAR ASSIST  
DEVICE WITH CYLINDRICAL CAM


Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that the following correspondence is being deposited with the United States Postal Service on this date February 22, 2002 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL922712444US addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

1. Transmittal Letter to the United States Designated Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371
2. Check for \$1,020.00
3. Copy of International Application (in English)
4. Return Postcard

Dated: February 22, 2002

  
\_\_\_\_\_  
Frank Chau  
Reg. No. 34,136

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